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10269-13CON
(HSX/001 CON1)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Timothy M. Keiser, et al.
Application No.: 09/465,607 Confirmation No.: 9080
Filed : December 17, 1999
For : COMPUTER-IMPLEMENTED SECURITIES
TRADING SYSTEM WITH A VIRTUAL
SPECIALIST FUNCTION
Group Art Unit : 3628
Examiner : Graham, Clement B.

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

TRANSMITTAL LETTER

Sir:

Transmitted herewith: ☐ a Preliminary
Amendment; ☐ a Reply to Office Action; ☐ a
Supplemental Amendment; ☐ a substitute specification;
☒ a Revocation of Power of Attorney and New Power of
Attorney; ☐ a Declaration; ☐ a Supplemental
Declaration; ☐ a Power of Attorney; ☐ an Associate
Power of Attorney; ☐ formal drawings; to be filed in
the above-identified patent application.

FEE FOR ADDITIONAL CLAIMS

☒ A fee for additional claims is not required.
☐ A fee for additional claims is required.

The additional fee has been calculated as shown below:

CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE ADDITIONAL FEES
TOTAL CLAIMS	-	* =	X \$ = \$.00
INDEPENDENT CLAIMS	-	** =	X \$ = \$.00
FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM			+ \$290 = \$ 0.00

*If less than 20, insert 20.

TOTAL \$.00

** If less than 3, insert 3.

- [] A check in the amount of \$ _____ in payment of the filing fee is transmitted herewith.
- [] Please charge \$ _____ to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.
- [X] The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

EXTENSION FEE

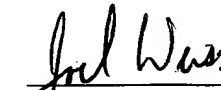
☐ The following extension is applicable to the Response filed herewith; ☐ \$110.00 extension fee for response within first month pursuant to 37 C.F.R. § 1.136(a)(1); ☐ \$420.00 extension fee for response within second month pursuant to 37 C.F.R. § 1.136(a)(2); ☐ \$950.00 extension fee for response within third month pursuant to 37 C.F.R. § 1.136(a)(3); ☐ \$1,480.00 extension fee for response within fourth month pursuant to 37 C.F.R. § 1.136(a)(4); ☐ \$2,010.00 within fifth month pursuant to 37 C.F.R. § 1.136(a)(5).

☐ A check in the amount of ☐ \$110.00; ☐ \$420.00; ☐ \$950.00; ☐ \$1,480.00; ☐ \$2,010.00 in payment of the extension fee is transmitted herewith.

☒ The Director is hereby authorized to charge payment of any additional fees required under 37 C.F.R. § 1.17 in connection with the paper(s) transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

☐ Please charge the ☐ \$110.00; ☐ \$420.00; ☐ \$950.00; ☐ \$1,480.00; ☐ \$2,010.00 extension fee to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

Respectfully submitted,


Joel Weiss
Registration No. 44,398
FISH & NEAVE
Customer No. 1473
1251 Avenue of the Americas
New York, New York 10020-1105
Tel.: (212) 596-9000

I hereby certify that this
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C. Van Goodman